

09-28-01

cket No.: A0000336-01-DRK

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Certification Under 37 C.F.R. § 1.10

I hereby certify that this correspondence and any attachments are, on the date below, being deposited with the United States Postal Service as "Express Mail Post Office to Addressee", Mailing Label No. EJ881683644US addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date: September 27, 2001

Signature

Cindy Malocha

Cindy Malocha

Typed/Printed Name of Person Making Certification

J1033 U.S. PTO
09/27/01
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#5A
PS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): CORINNE ELIZABETH AUGELLI-SZAFRAN, ET AL.

Filed:

Title: PHENOXAZINE ANALOGS USEFUL AS AMYLOID AGGREGATION INHIBITORS AND TREATMENT OF ALZHEIMER'S DISEASE AND DISORDERS RELATED TO AMYLOIDOSIS

BOX PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Transmittal of a Patent Application under 37 C.F.R. § 1.53(b)

Commissioner for Patents:

Applicant(s) request the filing under 37 C.F.R. § 1.53 of a

- ☒ new patent application or
☐ continuation-in-part application.

Attached are the following documents:

- ☒ Specification and claims
☐ Drawings, if applicable. _____ pages.
☒ Combined Declaration and Power of Attorney (UNEXECUTED)
☐ Priority of non U.S. application number _____, filed _____ in _____ is claimed.
☐ The certified copy has been filed in prior application number _____.
☐ The certified copy will follow when available.

☐ Information Disclosure Statement

Full Names of Inventors: Corinne Elizabeth Augelli-Szafran
 Yinglie Lai
 Tomoyuki Yasunaga

☒ Amend the specification by inserting before the first line the sentence:

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--This application claims the benefit of Provisional Application No. 60/236,966 filed September 29, 2000--.

☐ A petition for an extension of time has been filed in the prior application.

The filing fee has been calculated as follows:

The filing fee is \$710.00 plus \$18.00 for every claim over twenty, plus \$80.00 for every independent claim over three, plus \$270.00 if multiple dependent claims are presented (11 claims total, 4 independent, no multiple dependent).

The filing fee is \$710.00.

Authorization is hereby given to charge the fee set forth above to Deposit Account No. 23-0455, and the Commissioner is hereby authorized to charge any greater amount as may be required or credit any overpayment to Deposit Account No. 23-0455.

In addition, the Commissioner is authorized to charge any payment of the following fees associated with this communication or during the pendency of this application or credit any over payment to Deposit Account No. 23-0455:

1. Any additional filing fees for the presentation of claims under 37 C.F.R. § 1.16; and
2. Any patent application processing fees under 37 C.F.R. § 1.17.

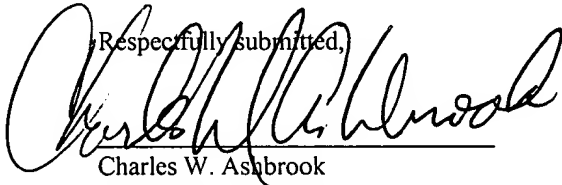
No authorization is given to charge the Issue Fee (37 C.F.R. § 1.18)

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9/27/01
Date

Respectfully submitted,


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Attachments

- ☒ Specification and claims
- ☒ Two additional copies of this paper
- ☐ Preliminary amendment
- ☐ Drawings
- ☒ UNEXECUTED oath/declaration
- ☐ Certified copy of non U.S. priority document
- ☒ Return postcard